

**MA40238 NUMBER THEORY (2014/15 SEMESTER 1)**  
**MOCK EXAMINATION SOLUTIONS**

**Problem 1.**

(a) We say  $a$  and  $b$  are congruent modulo  $m$  if  $m$  divides  $a - b$ . [2]

(b) For any positive integer  $n$ ,  $\mu(n) = 1$  if  $n = 1$ ;  $\mu(n) = 0$  if  $n$  is not square-free;  $\mu(n) = (-1)^l$  if  $n = p_1 p_2 \cdots p_l$  is the product of  $l$  distinct primes. [2]

(c) Let  $\text{hcf}(a, m) = d$ , then the congruence equation  $ax \equiv b \pmod{m}$  has solutions if and only if  $d \mid b$ . [2]

(d) Consider the congruence  $7x \equiv 2 \pmod{13}$ . By adding multiples of 13 on the right-hand side we get  $7x \equiv 2 \equiv 28 \pmod{13}$ . By cancellation law we get  $x \equiv 4 \pmod{13}$ . Hence  $x = 13k + 4$  for any  $k \in \mathbb{Z}$ . By substitution, we have  $7(13k + 4) - 13y = 2$ , hence  $y = 7k + 2$ . The solutions to the original equation is given by  $x = 13k + 4$ ,  $y = 7k + 2$  where  $k \in \mathbb{Z}$ . [3]

(e) Since 11 is an odd prime,  $\mathbb{Z}_{11}^*$  is a cyclic group of order 10. To show 2 is a primitive root modulo 11, we need to show 2 has order 10 modulo 11. In other words, its order is not 1, 2 or 5. Indeed,  $2^1 \equiv 2 \pmod{11}$ ,  $2^2 \equiv 4 \pmod{11}$ ,  $2^5 = 32 \equiv 10 \pmod{11}$ . None of them is congruent to 1 modulo 11, hence 2 is a primitive root modulo 11. [3]

(f) We prove it by induction on  $k$ . For  $k = 1$  there is nothing to prove. For  $k = 2$ , an integer solution to  $x \equiv b_1 \pmod{m_1}$  is of the form  $x = m_1 q + b_1$ . So we need to have  $m_1 q + b_1 \equiv b_2 \pmod{m_2}$ , or  $m_1 q \equiv b_2 - b_1 \pmod{m_2}$ . Since  $\text{hcf}(m_1, m_2) = 1$ , it has a unique solution for  $q$ , say  $q \equiv q_0 \pmod{m_2}$ . Or equivalently,  $q = m_2 r + q_0$  for any  $r \in \mathbb{Z}$ . Hence  $x = m_1 m_2 r + (m_1 q_0 + b_1)$  for any  $r \in \mathbb{Z}$ , which is the unique solution for  $x$  modulo  $m = m_1 m_2$ .

For general  $k$ , suppose we have proved the result for  $k - 1$ . That is, the first  $k - 1$  congruence equations have a unique common solution  $x \equiv s \pmod{m'}$  for some  $s$ , where  $m' = m_1 m_2 \cdots m_{k-1}$ . Then the problem reduces to a system of two congruences  $x \equiv s \pmod{m'}$  and  $x \equiv b_k \pmod{m_k}$ . By the case for  $k = 2$  above, there is a unique solution for  $x$  modulo  $m = m' m_k$ . This finishes the induction. [4]

(g) We have  $(p^q + q^p) - (p + q) = (p^q - p) + (q^p - q)$ . By Fermat's Little Theorem, since  $p$  and  $q$  are distinct primes,  $p^{q-1} \equiv 1 \pmod{q}$ , hence  $p^{q-1} - 1$  is a multiple of  $q$ . Therefore  $p^q - p = p(p^{q-1} - 1)$  is a multiple of  $pq$ . By switching  $p$  and  $q$  we know that  $q^p - q = q(q^{p-1} - 1)$  is also a multiple of  $pq$ , so is the sum  $(p^q - p) + (q^p - q)$ . It follows that  $p^q + q^p \equiv p + q \pmod{pq}$ . [4]

## Problem 2.

(a) We say  $a$  is a quadratic residue modulo  $m$  if  $x^2 \equiv a \pmod{m}$  has a solution. [2]

(b) Let  $b = p_1 p_2 \cdots p_m$  be its prime factorisation, where  $p_1, p_2, \dots, p_m$  are not necessarily distinct primes. The Jacobi symbol  $(\frac{a}{b})$  is defined by  $(\frac{a}{b}) = (\frac{a}{p_1})(\frac{a}{p_2}) \cdots (\frac{a}{p_m})$ . [2]

(c) Since  $(\frac{-1}{b}) = 1$  if  $b \equiv 1 \pmod{4}$  and  $-1$  if  $b \equiv -1 \pmod{4}$ , we get  $(\frac{-1}{15}) = -1$ . By definition,  $(\frac{9}{15}) = (\frac{9}{3})(\frac{9}{5}) = 0$  because 9 is a multiple of 3. By quadratic reciprocity for Jacobi symbols, when  $a$  and  $b$  are coprime positive odd integers, we have  $(\frac{a}{b})(\frac{b}{a}) = 1$  if  $a \equiv 1 \pmod{4}$  or  $b \equiv 1 \pmod{4}$ , and  $-1$  if  $a \equiv b \equiv 3 \pmod{4}$ . Since  $17 \equiv 1 \pmod{4}$ , we have  $(\frac{17}{15})(\frac{15}{17}) = 1$ . Hence only the third expression takes value 1. [3]

(d) Since  $219 \equiv 383 \equiv 3 \pmod{4}$ , by quadratic reciprocity for Jacobi symbols, we have  $(\frac{219}{383}) = -(\frac{383}{219}) = -(\frac{164}{219}) = -(\frac{4}{219})(\frac{41}{219}) = -(\frac{41}{219})$ . Since  $41 \equiv 1 \pmod{4}$ , we have  $-(\frac{41}{219}) = -(\frac{219}{41}) = -(\frac{14}{41}) = -(\frac{2}{41})(\frac{7}{41}) = -(\frac{7}{41})$ , where the last equality is due to  $41 \equiv 1 \pmod{8}$ . Again by  $41 \equiv 1 \pmod{4}$ , we get  $-(\frac{7}{41}) = -(\frac{41}{7}) = -(\frac{-1}{7}) = -(-1) = 1$ , where the last equality is due to  $7 \equiv 3 \pmod{4}$ . [3]

(e) *Euler's criterion.* For any integer  $a$  and odd prime  $p$ , we have  $(\frac{a}{p}) \equiv a^{\frac{p-1}{2}} \pmod{p}$ .

It follows that  $(\frac{-1}{p}) = (-1)^{\frac{p-1}{2}}$ . If  $p \equiv 1 \pmod{4}$ , then  $\frac{p-1}{2}$  is an even integer, hence  $(\frac{-1}{p}) = 1$ ; if  $p \equiv 3 \pmod{4}$ , then  $\frac{p-1}{2}$  is an odd integer, hence  $(\frac{-1}{p}) = -1$ . [3]

(f) *Gauss' Lemma.* Let  $p$  be an odd prime,  $r = \frac{p-1}{2}$ ,  $p \nmid a$ , and  $\mu$  the number of integers among  $a, 2a, \dots, ra$  which have negative least residues modulo  $p$ . Then  $(\frac{a}{p}) = (-1)^\mu$ .

*Proof.* Let  $m_l$  or  $-m_l$  be the least residue of  $la$  modulo  $p$ , where  $m_l$  is positive. As  $l$  ranges between 1 and  $r$ ,  $\mu$  is clearly the number of minus signs that occur in this way. We claim that  $m_l \neq m_k$  for any  $l \neq k$  and  $1 \leq l, k \leq r$ . For, if  $m_l = m_k$ , then  $la \equiv \pm ka \pmod{p}$ , and since  $p \nmid a$  this implies that  $l \pm k \equiv 0 \pmod{p}$ . The latter congruence is impossible since  $l \neq k$  and  $|l \pm k| \leq |l| + |k| \leq p-1$ . It follows that the sets  $\{1, 2, \dots, r\}$  and  $\{m_1, m_2, \dots, m_r\}$  coincide. Multiply the congruences

$$1 \cdot a \equiv \pm m_1 \pmod{p}, \quad 2 \cdot a \equiv \pm m_2 \pmod{p}, \quad \dots, \quad r \cdot a \equiv \pm m_r \pmod{p}.$$

Notice that the number of negative signs on the right hand sides is  $\mu$ , we obtain

$$r! \cdot a^r \equiv (-1)^\mu \cdot r! \pmod{p}.$$

Since  $p \nmid r!$ , this yields

$$a^r \equiv (-1)^\mu \pmod{p}.$$

By Euler's criterion  $a^r = a^{\frac{p-1}{2}} \equiv (\frac{a}{p}) \pmod{p}$  and the result follows. [3]

(g) We prove by contradiction. Assume there are only finitely many odd primes which are quadratic non-residues modulo  $p$ , given by the set  $S = \{q_1, q_2, \dots, q_s\}$ . We consider  $N = 2pq_1q_2 \cdots q_s - 1$ . We realise that  $N \equiv -1 \pmod{p}$ , hence  $(\frac{N}{p}) = (\frac{-1}{p}) = -1$ , since  $p \equiv 3 \pmod{4}$ . Since  $N > 1$  is odd, we have the factorisation  $N = p_1 p_2 \cdots p_t$  where  $p_1, p_2, \dots, p_t$  are not necessarily distinct odd primes. For each  $i = 1, 2, \dots, t$ , we have  $p_i \notin S$  and  $p_i \neq p$ , hence  $p_i$  is a quadratic residue modulo  $p$ , which implies  $(\frac{p_i}{p}) = 1$ . Therefore  $(\frac{N}{p}) = (\frac{p_1}{p})(\frac{p_2}{p}) \cdots (\frac{p_t}{p}) = 1$ . Contradiction. [4]

**Problem 3.**

(a) An algebraic number field is a field  $K$ , such that  $\mathbb{Q} \subseteq K \subseteq \mathbb{C}$ , and  $K$  has finite degree (or finite dimensional vector space) over  $\mathbb{Q}$ . [2]

(b) Algebraic integers in  $K = \mathbb{Q}(\sqrt{d})$  are given by  $\{a + b\sqrt{d} \mid a, b \in \mathbb{Z}\}$  if  $d \equiv 2$  or  $3 \pmod{4}$ ;  $\{a + b \cdot \frac{1+\sqrt{d}}{2} \mid a, b \in \mathbb{Z}\}$  if  $d \equiv 1 \pmod{4}$ . An integral basis for  $\mathcal{O}_K$  is given by  $\{1, \sqrt{d}\}$  if  $d \equiv 2$  or  $3 \pmod{4}$ ;  $\{1, \frac{1+\sqrt{d}}{2}\}$  if  $d \equiv 1 \pmod{4}$ . [3]

(c) We define the discriminant of the  $n$ -tuple to be

$$\Delta(\alpha_1, \alpha_2, \dots, \alpha_n) = \det \begin{pmatrix} T(\alpha_1\alpha_1) & T(\alpha_1\alpha_2) & \cdots & T(\alpha_1\alpha_n) \\ T(\alpha_2\alpha_1) & T(\alpha_2\alpha_2) & \cdots & T(\alpha_2\alpha_n) \\ \vdots & \vdots & \ddots & \vdots \\ T(\alpha_n\alpha_1) & T(\alpha_n\alpha_2) & \cdots & T(\alpha_n\alpha_n) \end{pmatrix}.$$

For any non-zero ideal  $I$  in  $\mathcal{O}_K$ , the discriminant of an integral basis for  $I$  is called the discriminant of the ideal  $I$ . The discriminant of  $\mathcal{O}_K$  (or the discriminant of an integral basis for  $\mathcal{O}_K$ ) is called the discriminant of the number field  $K$ . [3]

(d) Let  $I = (\alpha)$  for some non-zero element  $\alpha \in \mathcal{O}_K$ . Then  $N(I) = |N(\alpha)|$ . [2]

(e) In the ring of integers  $\mathcal{O}_K$ , every ascending chain of ideals  $I_1 \subseteq I_2 \subseteq I_3 \subseteq \cdots$  stabilises. In other words, there is a positive integer  $N$  such that  $I_m = I_{m+1}$  for all  $m \geq N$ . [2]

(f) *Theorem of Unique Factorisation.* Let  $K$  be a number field and  $\mathcal{O}_K$  its ring of integers. Then every non-zero proper ideal in  $\mathcal{O}_K$  can be uniquely written as a finite product of prime ideals up to reordering factors.

*Proof of Uniqueness.* Suppose  $P_1 P_2 \cdots P_r = I = Q_1 Q_2 \cdots Q_s$  where  $P_i$ 's and  $Q_j$ 's are prime ideals. Then  $P_1 \supseteq Q_1 Q_2 \cdots Q_s$ . We claim that  $P_1 \supseteq Q_j$  for some  $Q_j$ . If not, then for each  $j = 1, 2, \dots, s$ , we can find  $a_j \in Q_j \setminus P_1$ . Since  $P_1$  is a prime ideal,  $a_1 a_2 \cdots a_s \notin P_1$ . However  $a_1 a_2 \cdots a_s \in Q_1 Q_2 \cdots Q_s \subseteq P_1$ . Contradiction.

Therefore, by renumbering the  $Q_j$ 's if necessary, we can assume that  $P_1 \supseteq Q_1$ . Since  $Q_1$  is a prime ideal, it is also a maximal ideal, so we conclude that  $P_1 = Q_1$ .

Using cancellation law we obtain  $P_2 \cdots P_r = Q_2 \cdots Q_s$ . Continuing in the same way we eventually find that  $r = s$  and  $P_i = Q_i$  for all  $i$  after renumbering. [4]

(g) By assumption, for each  $i = 1, 2, \dots, n$ , we can write  $\alpha \gamma_i = \sum_{j=1}^n a_{ij} \gamma_j$ , where each  $a_{ij} \in \mathbb{Q}$ . Using the language of linear algebra, we have  $\alpha \cdot \mathbf{v} = \mathbf{M} \cdot \mathbf{v}$ , where

$$\mathbf{M} = \begin{pmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & \cdots & a_{nn} \end{pmatrix}, \quad \mathbf{v} = \begin{pmatrix} \gamma_1 \\ \gamma_2 \\ \vdots \\ \gamma_n \end{pmatrix}.$$

Since  $\mathbf{v} \neq 0$ , we see that  $\alpha$  is an eigenvalue of the square matrix  $\mathbf{M}$ . In other words,  $\alpha$  is a solution of the equation  $\det(x \cdot \mathbf{I} - \mathbf{M}) = 0$ . Since all entries of  $\mathbf{M}$  are rational numbers, the left-hand side of the equation is a polynomial with rational coefficients. Therefore  $\alpha$  is an algebraic number. [4]

**Problem 4.**

(a) Two non-zero ideals  $I, J$  in  $\mathcal{O}_K$  are said to be equivalent,  $I \sim J$ , if there exist non-zero  $\alpha, \beta \in \mathcal{O}_K$ , such that  $(\alpha)I = (\beta)J$ . This is an equivalence relation. Each equivalence class is called an ideal class. The group of ideal classes in  $\mathcal{O}_K$  under multiplication is called the ideal class group of  $K$ . The order of the ideal class group is called the class number of  $K$ . [4]

(b) We have  $h_K = 1$  if and only if  $\mathcal{O}_K$  is a PID. [2]

(c) Let  $e_1, e_2$  be two linearly independent vectors in  $\mathbb{R}^2$ . The abelian group  $L = \{m_1e_1 + m_2e_2 \mid m_1, m_2 \in \mathbb{Z}\}$  is called a lattice of rank 2 in  $\mathbb{R}^2$ . The fundamental domain of  $L$  is the set  $T = \{a_1e_1 + a_2e_2 \mid a_1, a_2 \in \mathbb{R}, 0 \leq a_1 < 1, 0 \leq a_2 < 1\}$ . [2]

(d) *Minkowski's Theorem.* Let  $L$  be a lattice of rank 2 in  $\mathbb{R}^2$  with fundamental domain  $T$ . Let  $X$  be a centrally symmetric convex subset of  $\mathbb{R}^2$ . If  $\text{vol}(X) > 4 \text{vol}(T)$ , then  $X$  contains a non-zero point of  $L$ .

*Proof.* We first shrink  $X$  to half of its size in length; precisely speaking, we consider  $Y = \{p \in \mathbb{R}^2 \mid 2p \in X\}$ . Then  $\text{vol}(Y) = \frac{1}{4} \text{vol}(X) > \text{vol}(T)$ .

For every  $h \in L$ , we define  $h + T = \{h + p \mid p \in T\}$  which is the transport of the fundamental domain along the vector  $h$ . It is clear that  $\mathbb{R}^2$  becomes the disjoint union of these parallelograms. Let  $Y_h = Y \cap (h + T)$  is the part of  $Y$  which lies in the parallelogram  $h + T$  for each  $h \in L$ , then  $Y$  becomes the disjoint union of all  $Y_h$ 's, hence  $\sum_{h \in L} \text{vol}(Y_h) = \text{vol}(Y) > \text{vol}(T)$ . We transport each  $Y_h$  back to the fundamental domain, say  $Y'_h = \{q \in T \mid h + q \in Y_h\}$ . Then  $\sum_{h \in L} \text{vol}(Y'_h) = \sum_{h \in L} \text{vol}(Y_h) > \text{vol}(T)$ . Since each  $Y'_h \subseteq T$ , this inequality implies they are not disjoint. Therefore there exist  $h_1, h_2 \in L$ ,  $h_1 \neq h_2$ , such that we can find some  $q \in Y'_{h_1} \cap Y'_{h_2}$ . That implies  $p_1 = h_1 + q \in Y_{h_1} \subseteq Y$  and  $p_2 = h_2 + q \in Y_{h_2} \subseteq Y$ , hence we found  $p_1, p_2 \in Y$ , such that  $p_1 - p_2 = h_1 - h_2 \in L$ .

Since  $p_1, p_2 \in Y$ , we have  $2p_1, 2p_2 \in X$ . Since  $X$  is centrally symmetric,  $-2p_2 \in X$ . Since  $X$  is convex,  $\frac{1}{2}(2p_1) + \frac{1}{2}(-2p_2) \in X$ , which is  $h_1 - h_2$ , a non-zero point in  $L$ . [4]

(e) The Minkowski bound  $M_K$  is  $\frac{2}{\pi} |\Delta_K|^{\frac{1}{2}}$  if  $d < 0$ ; and  $\frac{1}{2} |\Delta_K|^{\frac{1}{2}}$  if  $d > 0$ . [2]

(f) The Minkowski bound is  $M_K = \frac{1}{2} \sqrt{13} < 2$ , hence each ideal class contains an ideal of norm at most 1, which has to be  $\mathcal{O}_K$ . Therefore the class number of  $\mathbb{Q}(\sqrt{13})$  is 1. [2]

(g) By the formula given in class, since  $d \not\equiv 1 \pmod{4}$ , we have the factorisation  $(2) = \mathfrak{p}^2$  for some prime ideal  $\mathfrak{p}$  of norm 2. Take  $I = \mathfrak{p}$ , then we have an ideal with  $N(I) = 2$ . It remains to show that  $I$  is not principal.

We prove by contradiction. Assume there exists a non-zero  $\alpha \in \mathcal{O}_K$  such that  $I = (\alpha)$ , then  $|N(\alpha)| = N(I) = 2$ , hence  $N(\alpha) = \pm 2$ . Since  $d \not\equiv 1 \pmod{4}$ , we can write  $\alpha = a + b\sqrt{d}$  for some  $a, b \in \mathbb{Z}$ . Then  $N(\alpha) = a^2 - b^2d = a^2 + b^2(-d) = \pm 2$ . Since  $-d > 0$ , we must have  $a^2 + b^2(-d) = 2$ . Since  $-d > 2$ , we must have  $b = 0$ , otherwise  $a^2 + b^2(-d) > 0 + 2 = 2$ . It follows that  $a^2 = 2$ , which has no integer solution. Contradiction.

Since  $I$  is not a principal ideal,  $I$  and  $\mathcal{O}_K$  are not in the same ideal class. Hence there are at least two ideal classes. In other words,  $h_K \geq 2$ . [4]